

**UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF PUERTO RICO**

In re:

THE FINANCIAL OVERSIGHT AND
MANAGEMENT BOARD FOR
PUERTO RICO,

as representative of

THE COMMONWEALTH OF PUERTO
RICO, *et al.*,

Debtors.¹

PROMESA

Title III

No. 17 BK 03283-LTS

(Jointly Administered)

In re:

THE FINANCIAL OVERSIGHT AND
MANAGEMENT BOARD FOR
PUERTO RICO,

as representative of

THE EMPLOYEES RETIREMENT
SYSTEM OF THE COMMONWEALTH OF
PUERTO RICO (the “ERS”),

Debtor.

PROMESA

Title III

No. 17 BK 03566-LTS

**STIPULATION TO SUPPLEMENT THE RECORD
ON APPEAL PURSUANT TO F.R.A.P. 10(e)(2)(A)**

¹ The Debtors in these Title III Cases, along with each Debtor’s respective Title III case number and the last four (4) digits of each Debtor’s federal tax identification number, as applicable, are the (i) Commonwealth of Puerto Rico (Bankruptcy Case No. 17 BK 3283-LTS) (Last Four Digits of Federal Tax ID: 3481); (ii) Puerto Rico Sales Tax Financing Corporation (Bankruptcy Case No. 17 BK 3284-LTS) (Last Four Digits of Federal Tax ID: 8474); (iii) Puerto Rico Highways and Transportation Authority (Bankruptcy Case No. 17 BK 3567-LTS) (Last Four Digits of Federal Tax ID: 3808); (iv) Employees Retirement System of the Government of the Commonwealth of Puerto Rico (Bankruptcy Case No. 17 BK 3566-LTS) (Last Four Digits of Federal Tax ID: 9686); (v) Puerto Rico Electric Power Authority (Bankruptcy Case No. 17 BK 4780-LTS) (Last Four Digits of Federal Tax ID: 3747); and (vi) Puerto Rico Public Buildings Authority (Bankruptcy Case No. 19 BK 5523-LTS) (Last Four Digits of Federal Tax ID: 3801) (Title III case numbers are listed as Bankruptcy Case numbers due to software limitations).

Pursuant to Rule 10(e)(2)(A) of the Federal Rules of Appellate Procedure, the undersigned parties, who are appellants and appellees in the appeal entitled *UBS Financial Services Inc. v. Estate of Pedro J. Nazario Serrano, et al.*, Case No. 23-1216, by and through their attorneys, hereby stipulate and agree to supplement the record on appeal in with the following documents:

1. The Adversary Complaint filed in the adversary action entitled *Drivetrain, LLC v. Barclays, et al.*, Adv. Proc. No. 19-000280-LTS (the “Underwriter Adversary Action” or “UAA”). (UAA Dkt. 1)

2. The First Amended Adversary Complaint filed in the Underwriter Adversary Action. (UAA Dkt. 28)

3. The Second Amended Complaint filed in the Underwriter Adversary Action. (UAA Dkt. 49)

4. Defendants’ Joint Notice of Motion and Motion to Dismiss with Prejudice the Second Amended Complaint filed in the Underwriter Adversary Action. (UAA Dkt. 134)

5. The Joint Memorandum of Law in Support of Defendants’ Motion to Dismiss with Prejudice filed in the Underwriter Adversary Action. (UAA Dkt. 135)

6. Plaintiff’s Memorandum of Law in Opposition to Defendants’ Motion to Dismiss filed in the Underwriter Adversary Action. (UAA Dkt. 145)

7. The Joint Reply Memorandum of Law in Further Support of Defendants’ Motion to Dismiss with Prejudice filed in the Underwriter Adversary Action. (UAA Dkt. 147)

8. Citibank, GS Bank and GS Mitsui’s Supplemental Reply in Further Support of Defendants’ Motion to Dismiss with Prejudice filed in the Underwriter Adversary Action. (UAA Dkt. 149)

WHEREFORE, the parties to this stipulation respectfully request the Clerk of this court transmit to the Circuit Court the additional documents stipulated to herein.

SO STIPULATED, on June 13, 2023:

For Objectors-Appellants:	For Movant-Appellee:
VICENTE & CUEBAS <u>/s/ Harold D. Vicente-Colón</u> Harold D. Vicente-Colón Harold D. Vicente-González PO Box 11609 San Juan, PR 00910-1609 hvicente@vclawpr.com hdvc@vclawpr.com	McCONNELL VALDÉS LLC <u>/s/ Roberto C. Quiñones-Rivera</u> Roberto C. Quiñones-Rivera, Esq. 270 Muñoz Rivera Ave. Hato Rey, PR 00918 Tel.: (787) 250-2631 Fax: (787) 759-9225
PUJOL LAW OFFICES, PSC <u>/s/ Francisco Pujol-Meneses</u> Francisco Pujol-Meneses PO Box 363042 San Juan, PR 00936-3042 Phone No. (787) 724-0900 Fax No. (787) 724-1196 fpujol@pujollawpr.com	SKADDEN, ARPS, SLATE, MEAGHER & FLOM LLP <u>/s/ Paul J. Lockwood</u> Paul J. Lockwood 920 North King Street Wilmington, DE 19801 Tel.: (302) 651-3000 Fax: (302) 651-3001 Paul.Lockwood@skadden.com
BUFETE ANDRÉU & SAGARDÍA <u>/s/ José A. Andréu-Fuentes</u> José A. Andréu-Fuentes 261 Avenida Domenech San Juan, Puerto Rico 009718 Phone (787) 754-1777/763-8044 Fax No. (787) 763-8045	
For Respondents-Appellees:	
CONTINENTAL, PLLC <u>/s/ Angelo M. Castaldi</u> Angelo M. Castaldi 255 Alhambra Cir, Suite 640, Miami FL 33134 Tel. 305-677-2707 acastaldi@continentalpllc.com	CONTINENTAL, PLLC <u>/s/ John Arrastia</u> John Arrastia 255 Alhambra Cir, Suite 640, Miami FL 33134 Tel. 305-677-2707 jarrastia@continentalpllc.com